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Express Mail Label No. EK 327 849 575 US

PATENT APPLICATION  
Docket: 13768.155

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Brian Deen, et al.

Serial No.: 09/680,122 ) Art Unit  
Filed: October 4, 2000 ) 2151  
Confirmation No.: unknown )  
For: EFFICIENTLY SENDING EVENT )  
NOTIFICATIONS OVER A COMPUTER )  
NETWORK )

## RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Box: Missing Parts  
Assistant Commissioner for Patents  
Washington, D. C. 20231

Sir:

In response to the Notice to File Missing Parts of Application mailed February 5, 2001, please find enclosed herewith the following items for filing in the United States Patent and Trademark Office in connection with the above-identified patent application:

- (1) a Declaration, Power of Attorney, and Petition executed by the inventors Brian Deen, et al.;
- (2) an Assignment from the inventors Brian Deen, et al. to Microsoft Corporation;
- (3) a copy of the Notice to File Missing Parts of Application - Filing Date Granted;
- (4) Check No. 120578 in the amount of \$2,190.00 to cover the filing fee for the above-identified application, the recordation fee for the enclosed patent assignment, and the surcharge fee pursuant to 37 C.F.R. § 1.16(e). These fees have been calculated as follows:

Basic filing fee for a large entity	=	\$710.00
Fee for independent claims (16 - 3 x \$80)	=	\$1,040.00
Fee for total claims (35 - 20 x \$18)	=	\$270.00
Assignment recordation fee	=	\$40.00
Surcharge fee	=	\$130.00
<b>TOTAL</b>	=	<b>\$2,190.00</b>

Please debit any additional fees, or credit any overpayment, to Deposit Account No. 23-3178. Triplicate copies of this sheet are enclosed.

The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or to credit any overpayment to Deposit Account No. 23-3178. Copies of this sheet are enclosed in triplicate.

X Any additional filing fees required under 37 C.F.R. § 1.16.

X Any patent application processing fees under 37 C.F.R. § 1.17.

The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 23-3178. Copies of this sheet are enclosed in triplicate.

X Any patent application processing fees under 37 C.F.R. § 1.17.

— The issue fee set in 37 C.F.R. § 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

X Any filing fees under 37 C.F.R. § 1.16 for presentation of extra claims.

Please address all future correspondence in connection with this case to the attention of the undersigned.

Dated this 3<sup>rd</sup> day of APRIL, 2001.

Respectfully submitted,



RICK D. NYDEGGER  
Attorney for Applicant  
Registration No. 28,651



022913

PATENT TRADEMARK OFFICE

RDN:jrj  
Enclosures  
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# UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/680,122	10/04/2000	Brian Deen	13768.155

022913  
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## FORMALITIES LETTER



\*OC000000005731523\*

Date Mailed: 02/05/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

### FILED UNDER 37 CFR 1.53(b)

#### *Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$1310.
  - \$270 for 15 total claims over 20.
  - \$1040 for 13 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

- The balance due by applicant is \$ 2150.

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70.00 88888  
130.00 2150.00  
100.00

04/09/2001 AG0101N 00000045 0968012  
01 FC:101  
02 FC:103  
03 FC:102  
04 FC:102

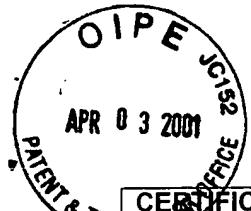
*A copy of this notice **MUST** be returned with the reply.*

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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



04-05.0)

Sector \$

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)				Docket No. 13768.155
Applicant(s): Brian Deen, et al.				
Serial No. 09/680,122	Filing Date October 4, 2000	Confirmation No. unknown	Examiner unknown	Group Art Unit 2151
Invention: EFFICIENTLY SENDING EVENT NOTIFICATIONS OVER A COMPUTER NETWORK				
<p>I hereby certify that the Response to Notice to File Missing Parts of Application (in triplicate); Declaration, Power of Attorney and Petition (3 pgs); PTO-1595 Recordation Cover Sheet and Assignment (4 pgs); Copy of Notice to File Missing Parts <i>Filing Date Granted</i> (1 pg); Check No. <u>120578</u> in the amount of \$2,190.00; and postcard are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Box Missing Parts, The Assistant Commissioner for Patents, Washington, DC 20231 on April 3, 2001.</p>				
<p>JOLIN JOHNSON</p> <hr/> <p>(Typed or Printed Name of Person Mailing Correspondence)</p>				
 <hr/> <p>(Signature of Person Mailing Correspondence)</p>				
<p>EK 327 849 575 US</p> <hr/> <p>("Express Mail" Mailing Label Number)</p>				
<p>G:\DATA\WPDOCSRN\MICROSOFT\OTHERDOC\0308 mp cem 155.doc</p>				